



**NISM-Series-XV:  
Research Analyst Certification**

**Mode of Delivery:** Online Live Interactive + Online Self-paced Learning\*



Scan for Register

**Duration :-** 16 Hours+

## **Study Materials :**

- ✓ Recording available of online live sessions (for 90 days)
- ✓ PPTs (800+ slides), PDFs & Excel spreadsheets.
- ✓ 900+ MCQs with explanation
- ✓ 20+ Case studies with solution
- ✓ Quick revision summary notes.
- ✓ Career Guidance/Counseling

**Register Here :-**  <https://hosturl.link/77dqVH>

This certification establishes a standardized knowledge benchmark for individuals involved in research analysis, including:

- Associated persons registered as research analysts under SEBI (Research Analysts) Regulations, 2014.
- Employees & partners of research analysts engaged in preparation/publication of research reports.

The certification enhances the quality of services provided by research analysts in the financial services industry.

NISM has specified this examination as the requisite standard for individuals fulfilling Regulation 7(2) of SEBI (Research Analysts) Regulations, 2014

Upon successful completion of the examination, candidates will:

- **Comprehend the Role of a Research Analyst:**  
Grasp the duties and responsibilities inherent in the securities market.
- **Acquire Market Knowledge:**  
Attain a thorough understanding of market structures, terminology pertinent to equity and debt markets, and various security types.
- **Apply Analytical Techniques:**  
Utilize technical, fundamental, and economic analyses to evaluate investment prospects.
- **Master Valuation and Risk Concepts:**  
Achieve proficiency in valuation methodologies, risk-return principles, and financial statement analysis.
- **Understand Corporate Actions and Regulations:**  
Gain insight into corporate actions, financial metrics, and the regulatory framework governing financial markets.
- **Develop Research Reporting Skills:**  
Cultivate the ability to produce high-quality research reports, ensuring adherence to legal and ethical standards in investment analysis

## Assessment Details:

- 92 Multiple Choice Questions: 1 mark each
- 2 Case-based Questions: 4 questions per case (1 mark each)
- Total Marks: 100
- Duration: 2 Hours
- Passing Score: 60%
- Negative Marking: 25% of marks assigned per incorrect answer

\* Online Self-paced contents access will be provided for 90 days to the user only.

## **ICAPITAL ACADEMY & CORPORATE ADVISORY LLP :**

### **The Institute is Leading Excellence in Financial Education and Advisory Services**

Since its establishment in October 2020, ICAPITAL Academy has become a premier institution in financial education and professional advisory services. We specialize in delivering NISM certification programs and Financial Market training through versatile formats, including online, self-paced, and classroom courses, tailored to diverse learning preferences and professional aspirations

Our comprehensive educational offerings span finance, securities markets, and allied domains, providing participants with a robust understanding of the financial ecosystem. Through our coaching centers and innovative learning platforms, we deliver a holistic educational experience, empowering individuals with the skills and insights to succeed in competitive financial landscapes.

ICAPITAL Academy also excels in corporate training and human resource development, designing bespoke programs that enhance workforce competency and organizational growth. Our services aim to equip teams with the tools needed that enhance workforce competency to navigate complex financial markets confidently

In advisory services, we are recognized for our expertise in financial research, project finance, personal finance, & loan syndication. Acting as a critical bridge between clients and financial institutions, we assist in marketing financial products, managing project appraisals, and facilitating structured debt syndication. Additionally we provide strategic guidance on debt restructuring and corporate financial planning fostering sustainable success for our clients.

Expanding both domestically and globally, ICAPITAL Academy aims to become a leader in financial knowledge dissemination. Our specialized job-oriented courses, covering areas such as Financial Modeling, Stock Market, Derivatives, Forex, Technical Fundamental Analysis, and Mutual Funds are meticulously designed to prepare and participants for industry demands.

At ICAPITAL Academy, we prioritize quality education delivered by industry experts, enabling informed investment decisions and professional excellence. Our commitment to innovation and expertise positions us as a catalyst for growth in the ever-evolving financial world.

## About NISM :

The National Institute of Securities Markets (NISM), established by SEBI in 2006 enhances securities market standards through capacity building initiatives. It offers 29 SEBI-mandated and voluntary certifications across 250+ test centers and manages six schools of excellence.

## NISM Certification

**Under SEBI regulations, NISM provides:**

- Mandatory certification for securities market intermediaries.
- Continuing Professional Education (CPE) for certificate renewal.

Certificates are valid for three years and can be renewed by passing the relevant exam or completing a one-day CPE program within 12 months of expiry.

## Test Objectives :

Upon passing the examination, candidates will

- Comprehend the Role of a Research Analyst:  
Understand the duties within the securities market.
- Acquire Market Knowledge:  
Gain insights into market structures, equity and debt terminology, and various securities.
- Apply Analytical Techniques:  
Utilize technical, fundamental, and economic analyses to evaluate investments.
- Master Valuation and Risk Concepts:  
Learn valuation methods, risk-return principles, and financial statement analysis.
- Understand Corporate Actions and Regulations:  
Grasp corporate actions, financial metrics, and the regulatory framework of financial markets.
- Develop Research Reporting Skills:  
Cultivate the ability to produce high-quality research reports, adhering to legal and ethical standards in investment analysis.

## Course Details:

- NISM exam registration fees not included in the above mention Course Fee.
- PPTs (700+ Slides), 800+ MCQs and 20+ Case Studies for practice (mock exams & mock paper shared for self-practice).
- Sessions would cover doubt solving from the Mock Exams.
- Online Interactive Session for the practice on software's required in particular module

## Eligibility:

The NISM-Series-XV: Research Analyst Certification Examination is suitable for:

Associated Persons:

- o Registered research analysts under SEBI (Research Analysts) Regulations, 2014.
- o Employees or partners engaged in preparation or publication of research reports or analysis.

Interested Individuals

- o Students (10th, 10+2, Under Graduates, Postgraduates).
- o Professionals aspiring to become research analysts.
- o Financial advisors, wealth managers, and traders seeking to enhance their career prospects.
- o Any individual looking to gain expertise in securities markets and research analysis

## Curriculum Overview

### Research Analyst Certification

#### I. Research Analyst Profession:

Define the role, responsibilities, principles of professional conduct, and key qualities.

#### II. Securities Market Overview:

Describe securities, market structure, major participants, transaction workflows, & dematerialization processes

#### III. Equity and Debt Terminology:

Introduce fundamental equity and debt market terms, including types of bonds.

#### IV. Investment Research Fundamentals:

Explain the purpose and scope of technical, fundamental, and quantitative analyses. Include behavioral finance concepts

#### V. Economic Analysis:

Provide an overview of macroeconomic and microeconomic fundamentals, essential variables, and data sources

#### VI. Industry Analysis:

Examine industry drivers, cyclicalities, key performance indicators (KPIs), regulatory environments and tax considerations.

#### VII. Business and Governance Analysis:

Discuss business models, SWOT analysis, competitive advantages, governance frameworks, ESG standards, and risk factors.

#### VIII. Financial Analysis:

Guide the interpretation of financial statements, ratio analysis, forecasting methods,

## IX. Corporate Actions:

Outline corporate actions such as dividends, stock splits, mergers, buybacks, and loan restructuring.

## X. Valuation Techniques:

Distinguish price versus value, explore various valuation methodologies, & explain special considerations for contemporary business models.

## XI. Risk and Return:

Clarify types of returns, investment risks, risk-adjusted metrics, behavioral biases, & market risk (Beta).

## XII. Research Report Excellence:

Define essential components, a checklist approach, and provide structured templates.

## XIII. Legal and Regulatory Framework:

Highlight key regulations, codes of conduct, conflict management strategies, & surveillance mechanisms.

## XIV. Annexures and Resources:

Include SEBI and AMFI guidelines, standardized templates, compliance checklists, key macroeconomic data sources, valuation guides, ethical research best practices, and exam FAQs.

## Faculty/Trainer∞:

Industry expert with over 20 years of rich experience and certified by NISM.

∞ Note: The designated trainer for a specific course may be subject to change without prior notice.

## Registration & Fee Payment:

Name of Module: NISM-Series-XV: Research Analyst Certification

Call us for an Individual and Customized Corporate Training

For more information please ☎ +919967813782, +91 9004459173

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🌐 : <https://wa.me/+919967813782>

## Payment:

(1) Scan the QR Code:

(2) Transfer to a bank account:

**ICAPITAL ACADEMY AND CORPORATE ADVISORY LLP**

GSTIN- 27AAIFI3809D1ZJLP

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IFSC- **HDFC0000540**

Branch: HDFC Bank, Sector-17, Vashi, Navi Mumbai



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LLP



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## Refund Policy

- Registration Fees paid by the candidate shall not be refunded under any circumstances after the classes have commenced.
- In case the registered candidate wishes to cancel the registration for the program, the candidate shall intimate
- ICAPITAL Academy via a written request or Email within 3 working days prior to the commencement of the program. Once the request has been approved by ICAPITAL Academy the candidate will be refunded 90% of the total course fees paid for the respective program.
- ^ ICAPITAL Academy reserves the right to change or modify the class date/ time due to certain exigencies

## Disclaimer

- The content provided in this program/course is for educational and informational purposes only. ICAPITAL Academy & Corporate Advisory LLP does not provide financial, legal, or investment advice. Participants are encouraged to consult qualified financial advisors before making any investment decisions.
- While all reasonable efforts have been made to ensure accuracy and completeness of the material, ICAPITAL Academy does not guarantee or warrant the reliability, accuracy, or completeness of the information presented. The program/course does not ensure success in examinations, certifications, or job placement.
- The financial markets are subject to risks, and past performance is not indicative of future results. Participants are responsible for their own investment and financial decisions.

## Terms & Conditions

### 1. Enrollment and Fees

- o Enrollment is confirmed only upon receipt of the full course fee.
- o The course fee is non-refundable under any circumstances, except where explicitly stated.
- o NISM certification examination registration fees are not included in the course fee.

### 2. Course Access

- o Access to course materials (PPTs, recordings, mock exams, etc.) is provided for the duration of the program or as specified by ICAPITAL Academy.  
Unauthorized sharing, reproduction, or distribution of course materials is strictly prohibited.

### 3. Participation

- o Participants must attend sessions regularly and adhere to the schedule.
- o ICAPITAL Academy reserves the right to reschedule or cancel sessions with prior notice.
- o I understand and agree, I should use the same email id and mobile number throughout the course run.

#### 4. Certification

- o Completion of this program/course does not guarantee passing the NISM certification exam. Participants must register independently for the exam through NISM.

#### 5. Liability

- o ICAPITAL Academy is not liable for any direct, indirect, or incidental loss or damage resulting from reliance on the course material or participation in the program.
- o Participants acknowledge that the financial markets involve risks & they are solely responsible for their investment decisions.

#### 6. Code of Conduct

- o Participants are expected to maintain a professional demeanor during sessions. Any disruptive behavior may result in removal from the program without refund.

#### 7. Amendments

- o ICAPITAL Academy reserves the right to update or modify the terms & conditions at any time. Participants will be notified of such changes.

#### 8. ICAPITAL Academy Privacy Notice

- o ICAPITAL Academy respects your privacy. We may use your contact information, such as your name, address, and email id to fulfil your request and service your account and to provide you with additional information from ICAPITAL Academy For further information on ICAPITAL Academy's privacy policy please visit [www.icapitalacademy.com](http://www.icapitalacademy.com)



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