



NISM-Series-VI: Depository Operations Certification (Training)

NISM Certification Examination - Mandated By SEBI



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NISM-Series-VI: Depository Operations Certification (Training)

Training : Online Live Interactive Sessions(On Zoom)

Duration : 18 Hours+

Faculty : 20 years+ Industry Experience

Study Materials:

- Self-Paced learning (recordings) access for 90 days
- PPTs (800+ Slides) & Pdfs
- 900+ MCQs with solution
- Excel spreadsheets
- Quick revision summary
- Carrer guidance / Corporation

Assessment Structure by NISM:

- 100 MCQs of 1 mark each
- Duration: 2 hours.
- Passing score: 60%.
- Negative marking: 25% of the marks assigned to a question.
- Certificate Validity: 3 years



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Disclaimer: The online training format, trainer, course duration, and study materials are subject to change without prior notice.



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Key highlights:

Online Live Interactive sessions+ Online Self-paced



Duration: 16+ hours



Faculty: 20+ years of industry experience

Curriculum overview:

- Understand the Indian capital market structure, participants, and regulations.
- Learn roles of market intermediaries like brokers and exchanges.
- Comprehend the need, benefits, and legal framework of depositories.
- Explore roles of DPs, clearing corporations, issuers, and registrars.
- Know account types—Beneficiary & Clearing Member—and opening/closure process.
- Learn about BSDA, joint accounts, and NSDL/CDSL online services.
- Understand transmission of securities and nomination facilities.
- Study dematerialization, destatementization, and restatementization processes.
- Learn market/off-market settlement and mutual fund transaction procedures.
- Understand pledging, hypothecation, and Non-Disposal Undertakings (NDUs).

Study Materials:

- Recording available of online live sessions (for 90 days)
- PPTs (600+ slides), PDFs & Excel spreadsheets.
- 600+ MCQs with explanation
- Quick revision summary notes.
- Career Guidance/Counseling

Assessment Details:

- 100 Multiple Choice Questions: 1 mark each
- Total Marks: 100
- Duration: 2 Hours
- Passing Score: 50%
- Negative Marking: 25% of marks assigned per incorrect answer
- Certificate Validity: 3 years

Upon successful completion of the training, candidates will;

- **Comprehend the Role of Depository Participants:**
 - Understand the responsibilities and operational functions of Depository Participants within the depository system.
- **Understand the Regulatory Framework:**
 - Gain knowledge of SEBI regulations, legal frameworks, and operational guidelines for depositories and DPs.
- **Master Depository Functions:**
 - Learn core functions such as dematerialization, trading, settlement, and pledge/hypothecation operations.
- **Know Account Management Processes:**
 - Understand account opening, maintenance, and closure processes, including POA requirements and client updates
- **Familiarize with Special Services:**
 - Acquire insights into corporate actions, debt instruments, and foreign portfolio investor operations.
- **Enhance Compliance and Reporting Skills:**
 - Develop the ability to handle investor grievances and ensure adherence to regulatory and ethical standards.

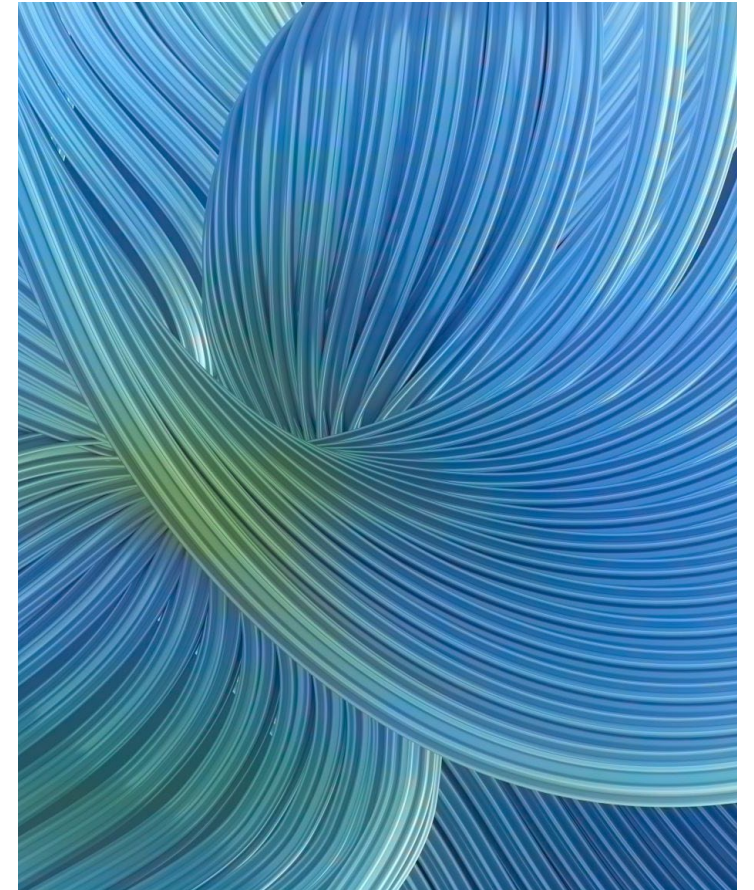
Are you eligible ?

Associated Persons:

- Employees or partners working with depository participants in client interactions, securities handling, investor grievance redressal, risk management, and related operations

Interested Individuals:

- Students (10th, 10+2, Under Graduates, Postgraduates) pursuing careers in finance and securities markets.
- Financial advisors and wealth managers seeking specialized knowledge in depository operations.
- Professionals aiming to deepen their understanding of the Indian securities market and its operational intricacies.



About NISM:

- NISM is a public trust established in 2006 by SEBI to enhance standards in India's securities markets.
- It undertakes wide-ranging capacity-building activities for market participants.
- Programmes include residential PG courses, short-term training, and faculty development.
- NISM offers 29 SEBI-mandated and voluntary certifications through 250+ test centers nationwide.
- It manages six schools of excellence for specialized training.
- NISM is expanding globally to become a premier financial education hub.

About ICAPITAL Academy:

- ❖ ICAPITAL Academy & Corporate Advisory LLP, established in October 2020, is a premier institution in financial education and advisory.
- ❖ Specializes in NISM certification preparation, capital markets training, and applied finance programs. Offers industry-relevant courses in Financial Modeling, Equity Research, Derivatives, Forex, Technical & Fundamental Analysis, and Mutual Funds.
- ❖ Provides self-paced, live online, and classroom-based learning formats for diverse learner needs. Delivers corporate training solutions focused on workforce upskilling, leadership development, and customized financial competency programs.
- ❖ Advisory services span financial research, personal finance, project finance, loan syndication, debt restructuring, and strategic corporate planning.
- ❖ Mission: To foster global financial excellence through quality education and professional empowerment.

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